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NOV 24 2004

Attorney Docket No. PX-3-2

Application No.: 10/039,892

CERTIFICATION OF FACSIMILE TRANSMISSION

In connection with U.S. Patent Application of **HOWARD PREISSMAN**, Application No. **10/039,892** filed **October 26, 2001**, I hereby certify that the following **COMMUNICATION** is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 12

Dated: Nov. 24, 2004
Michelle Nicely

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On November 24, 2004

By Michelle Nicely
Michelle Nicely

PATENT
Attorney Docket No.: PX-3-2

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NOV 24 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Howard Preissman

Application No.: 10/039,892

Filed: October 26, 2001

For: **HIGH PRESSURE APPLICATOR**

Examiner: Michael Priddy

Art Unit: 3732

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

This to inform the USPTO that the following documents have been previously filed regarding the above entitled case, and applicant would like to request that the file and Private PAIR be updated to reflect these changes: Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address; Statement Under 37 CFR 3.73(b); Notice of Recordation of Assignment Document (dated March 25, 2002) with copy of Recordation Cover Sheet (dated October 26, 2001); Assignment (Sole); Notice of Recordation of Assignment Document (dated March 22, 2004) with copy of Recordation Cover Sheet); and a copy of the return receipt postcard, stamped by the USPTO OIPE on March 29, 2004.

Application No. 10/039,892
Page 2 of 2

If the Examiner believes a telephone conference would expedite prosecution of this application, a telephone call to the undersigned attorney at below listed number will be appreciated.

Respectfully submitted,


Richard R. Batt
Reg. No. 43,485

11/27/04
Date

ArthroCare Corporation
680 Vaqueros Avenue
Sunnyvale, California 94085-3523
(408) 736-0224

PTO/SB/96 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: ArthroCare CorporationApplication No./Patent No.: 10/039,892 Filed/Issue Date: October 26, 2001Entitled: High Pressure Applicator

ArthroCare Corporation, a Delaware corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %
 in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:


1. From: Howard Preissman To: Parallax Medical, Inc.
 The document was recorded in the United States Patent and Trademark Office at Reel 012509, Frame 0637, or for which a copy thereof is attached.
2. From: Parallax Medical, Inc. To: ArthroCare Corporation
 The document was recorded in the United States Patent and Trademark Office at Reel 014428, Frame 0674, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 24, 2004
 Date
408 738 0224
 Telephone number

Michael A. Baker
 Typed or printed name

 Signature
President and CEO
 Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Arthro Corporation

(408) 530 43

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Form PTO-1518A Expires 03/30/99 OMB 0551-0027		U.S. Department of Commerce Patent and Trademark Office PATENT	
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Submission Type		Conveyance Type	
<input checked="" type="checkbox"/> New <input type="checkbox"/> Resubmission (Non-Recordation) <input type="checkbox"/> Correction of PTO Error, Reel # __, Frame # __ <input type="checkbox"/> Corrective Document, Reel # __, Frame # __		<input checked="" type="checkbox"/> Assignment <input type="checkbox"/> License <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____	
Conveying Party(ies)		<input type="checkbox"/> Mark if additional names of conveying parties attached	
Parallax Medical, Inc.			
Execution Date: 3/8/04		<input type="checkbox"/> Mark if additional names of receiving parties attached	
Receiving Party			
ArthroCare Corporation 880 Vaqueros Avenue Sunnyvale, California 94085-3523			
Correspondent Name and Address			
Richard R. Ball ArthroCare Corporation 880 Vaqueros Avenue Sunnyvale, California 94085-3523 (408) 738-0224			
Pages Enter the total number of pages of the attached conveyance document including any attachments. <u>4</u>			
Application Number(s) or Patent Number(s)		<input type="checkbox"/> Mark if additional application/patent numbers attached	
Patent Application Number(s) 09/828,539; 18/039,892; 10/301,454; 09/278,062; 09/408,690; 10/310,364; 10/703,738; 18/068,922; 10/283,928; 18/723,248		Patent Number(s) 5,019,776; 6,309,420; 6,231,615; 6,033,411; 6,348,055; 6,383,198	
If this document is being filed together with a new patent application, enter the date the patent application was signed by the first named executing inventor.			
Number of Properties Enter the total number of properties involved <u>15</u>			
Fee Amount Total amount for properties listed (37 CFR 3.41) <u>\$600.00</u> Method of Payment: <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Deposit Account No. 58-0359 Authorization to charge additional fees: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Statement and Signature			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charge to deposit account are authorized, as indicated.			
John T. Ruffin Reg. No. 38,565		Date <u>3/11/04</u>	
Mail documents to be recorded with required cover sheet(s) information to: Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450			

CH 5640 00 500359 09828539

OPR/ASSIGNMENTS

3/22/04 4:14 PAGE 2/4 RightFAX



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



MARCH 22, 2004

PTAS

700072632A

ARTHROCARE CORPORATION
RICHARD R. BATT
680 VAQUEROS AVENUE
SUNNYVALE, CA 94085-3523

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 03/17/2004

REEL/FRAME: 014428/0674
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PARALLAX MEDICAL, INC.

DOC DATE: 03/08/2004

ASSIGNEE:
ARTHROCARE CORPORATION
680 VAQUEROS AVENUE
SUNNYVALE, CALIFORNIA 94085-3523

SERIAL NUMBER: 09828539
PATENT NUMBER:

FILING DATE: 04/04/2001
ISSUE DATE:

SERIAL NUMBER: 10301454
PATENT NUMBER:

FILING DATE: 11/20/2002
ISSUE DATE:

SERIAL NUMBER: 09408690
PATENT NUMBER:

FILING DATE: 09/30/1999
ISSUE DATE:

OPR/ASSIGNMENTS 3/22/04 4:14 PAGE 3/4 RightFAX

014428/0674 PAGE 2

SERIAL NUMBER: 10703738
PATENT NUMBER:FILING DATE: 11/06/2003
ISSUE DATE:SERIAL NUMBER: 10269926
PATENT NUMBER:FILING DATE: 10/11/2002
ISSUE DATE:SERIAL NUMBER: 10039892
PATENT NUMBER:FILING DATE: 10/26/2001
ISSUE DATE:SERIAL NUMBER: 09276062
PATENT NUMBER:FILING DATE: 03/24/1999
ISSUE DATE:SERIAL NUMBER: 10310354
PATENT NUMBER:FILING DATE: 12/04/2002
ISSUE DATE:SERIAL NUMBER: 10366992
PATENT NUMBER:FILING DATE: 02/14/2003
ISSUE DATE:SERIAL NUMBER: 10723248
PATENT NUMBER:FILING DATE: 11/25/2003
ISSUE DATE:SERIAL NUMBER: 08950382
PATENT NUMBER: 6019776FILING DATE: 10/14/1997
ISSUE DATE: 02/01/2000SERIAL NUMBER: 08949839
PATENT NUMBER: 6033411FILING DATE: 10/14/1997
ISSUE DATE: 03/07/2000SERIAL NUMBER: 08950256
PATENT NUMBER: 6309420FILING DATE: 10/14/1997
ISSUE DATE: 10/30/2001SERIAL NUMBER: 09688721
PATENT NUMBER: 6348055FILING DATE: 10/16/2000
ISSUE DATE: 02/19/2002SERIAL NUMBER: 09420093
PATENT NUMBER: 6231615FILING DATE: 10/18/1999
ISSUE DATE: 05/15/2001SERIAL NUMBER: 09409934
PATENT NUMBER: 6383190FILING DATE: 09/30/1999
ISSUE DATE: 05/07/2002KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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Please stamp the date of receipt of the following
document(s) And return this card to us:

Inventor(s): Howard Preissman

Application No.: 10/039,892

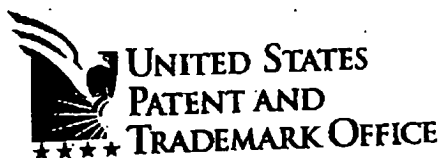
File No.: PX-3-2

Date Mailed: March 26, 2004



Enclosures: Revocation¹ of Power of Attorney with
New Power of Attorney and Change of
Correspondence Address; 37 CFR 3.73(b); Copy of
previously filed Assignment and Recordation
documents; Copy of Notice of Recordation of
Assignment dated 3/22/04.

46



MARCH 25, 2002

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Chief Information Officer
Washington, DC 20231
www.uspto.govBOZICEVIC, FIELD & FRANCIS, LLP
FRANK P. BECKING
200 MIDDLEFIELD ROAD, SUITE 200
MENLO PARK, CA 94025

101965192A

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RECORDATION DATE: 10/26/2001

REEL/FRAME: 012509/0637
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PREISSMAN, HOWARD E.

DOC DATE: 11/22/1999

ASSIGNEE:
PARALLAX MEDICAL, INC.
940 DISC DRIVE
SCOTTS VALLEY, CALIFORNIA 95066SERIAL NUMBER: 10039892
PATENT NUMBER:FILING DATE: 10/26/2001
ISSUE DATE:STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
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APR 1 - 2002

Bozicevic, Field & Francis

04/03/02
DOCKETED

01-30-2002



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PATENTS ONLY

ET

Application Number	To be assigned
Confirmation Number	To be assigned
Filing Date	herewith
First Named Inventor	Howard Preissman
Examiner	To be assigned
Group Art	To be assigned
Attorney Docket No.	PALX003DIV

ADDRESS TO:

Commissioner of Patents and Trademarks
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Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Howard E. Preissman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date(s): 11-22-1999

2. Name and address of receiving party(ies):

Name: Parallax Medical, Inc.

Internal Address:

Street Address: 940 Disc Drive

City: Scotts Valley State: CA Zip: 95066

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

11-23-1999

A. Patent Application Number(s):

B. Patent Number(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frank P. Becking, 42,309
Street Address: BOZICEVIC, FIELD & FRANCIS, LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
A Duplicate Copy of this Sheet is Attached

The Commissioner is authorized to charge any fees which may be required for extraordinary payments to Deposit Account 50-0815. If additional fees are required including extensions of time, please consider this petition therefore.

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank P. Becking

Name of Person Signing

Signature

10-26-2001

Date

11/29/2002 810H11 00000163 500815 10039892

11 FC:581 40.00 CH

Attorney Docket No. 361722000320

**ASSIGNMENT
SOLE**

THIS ASSIGNMENT, by Howard E. PREISSMAN (hereinafter referred to as the assignor), residing at 2140 Jonathan Avenue, San Jose, CA 95125, witnesseth:

WHEREAS, said assignor has invented certain new and useful improvements in HIGH PRESSURE APPLICATOR, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith, bearing Serial No. 09/409,934 and filed on September 30, 1999; and

WHEREAS, PARALLAX MEDICAL, INC., a corporation duly organized under and pursuant to the laws of CALIFORNIA and having its principal place of business at 453 Ravendale Drive, Suite B, Mountain View, CA 94043 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

11/22/99
Date


Howard E. PREISSMAN